

Inception Workshop of the
ANBO-UNDP/GEF
October 01-02, 2018

**INCEPTION WORKSHOP REPORT
ANBO-UNDP/GEF**

Dakar,

October

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2018



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Inception Workshop of the
ANBO-UNDP/GEF
October 01-02, 2018

Content

List of acronyms	4
Introduction.....	5
I. The UNDP / GEF Project: Stakeholders and Objectives	6
1. Objective of the ANBO-UNDP / GEF project	6
2. Stakeholders	6
3. Project Results Framework	8
II. Inception Workshop.....	176
1. Presentation objectives and methodology of the inception workshop.....	16
2. Presentation and discussion of ANBO-UNDP/GEF project.....;	16
2.1 ANBO new vision.....	176
2.2 Climate Change Issue.....	17
2.3. Platform for information exchange and knowledge management	187
2.4. ANBO sustainable financing	187
3. Presentation of M&E requirement	208
4. Unesco Presentation : The potential of groundwater for L/RBOs	219
5. Project Work Plan and Budget	Erreur ! Signet non défini. 9
III. Recommendations	20
Conclusion	22
List of annexes	23
Annex 1 : Work plan consolidated and approved by PSC	24
Annex 2: Project Budget (OMVS & UNESCO) approved by PSC.....	35
Annex 3: Inception Workshop Agenda.....	38
Annex 4: ANBO-UNDP/GEF Project Presentation.....	40
Annex 5: M&E requirement and SESP Presentations By UNDP	52
Annex 6 UNESCO Presentation	85
Annex 7: Photos	95
Annex 8: Attendance List.....	99

List of acronyms

AfDB-PIDA	African Development Bank - Programme for Infrastructure Development in Africa
AMCOW	African Ministerial Council on Water
ANBO	Africa Network of Basin Organizations
AU	African Union
AUC	African Union Commission
AWIS	Africa Water Information System
CB	Coordination Bureau (of ANBO)
ECOWAS	Economic Commission of West African States
GC	Groundwater Commission
GEF	Global Environment Facility
GGIS	Global Groundwater Information System
GGMN	Global Groundwater Monitoring Network (UNESCO)
GIZ	(Deutsche) Gesellschaft für Internationale Zusammenarbeit (German International Development Agency)
GWP	Global Water Partnership
IGAD	Inter-Governmental Authority for Development
IGRAC	International Groundwater Resources Assessment Centre (of UNESCO)
IWMI	International Water Management Institute
IW-LEARN	International Waters-Learning Exchange and Resource Network
IWRM	Integrated Water Resources Management
L/RBOs	Lake and River Basin Organizations
OMVS	Senegal River Basin Development Authority (or Organisation pour la mise en valeur du fleuve Sénégal)
NEPAD	New Partnership for Africa's Development
REC	Regional Economic Community
SADC	Southern African Development Community
SITWA	Strengthening the Institutions for Transboundary Water Management in Africa (EC-financed project)
TBOs	Transboundary Basin (or Aquifer) Organizations
UNDP	United Nations Development Programme
UNECE	United Nations Economic Commission for Europe
UNESCO/IHP	United Nations Educational, Scientific and Cultural Organization-International Hydrological Program

Introduction

The project "Strengthening the Institutional Capacity of the African Network of Basin Organizations (ANBO) Contributing to the Improvement of Transboundary Water Governance in Africa", funded by UNDP / GEF, held its Inception Workshop on October 1st and 2nd 2018 in Dakar. During this inception workshop, three meetings were gathered:

- The Inception workshop meeting
- The Project Steering Committee meeting
- The Coordination Bureau meeting

The objectives of the meeting were:

1. To review and validate the work plan of the project for the 2 years and a half period of its remaining duration, October 2018 to December 2020, as well as the related budget.
2. Clarify the roles and responsibilities of the different stakeholders in the implementation of the project.
3. Submit the recommendations in points 1 and 2 above for consideration by the project steering committee for consideration and approval.

This inception workshop comes after ANBO's long period of inactivity since the end of the SITWA project funded by the European Union in December 2016. This lack of functioning has had repercussions on its reinforcement and that of its members, which are: river basin and river basin organizations (RBOs / FBOs) spread across the African continent.

Therefore, all ANBO members attending this workshop welcomed the launch of this new project and reiterated the need to find a solution to make ANBO's operation permanent, as clearly stated in one of the expected results of the document. project. It is in this context that the ANBO-UNDP / GEF project is designed to continue the work of the SITWA project and to give it a new orientation for the benefit of all stakeholders (ANBO members and partners). This new approach aims to optimize its contribution to its members in terms of added value and to give it a more ambitious and sustainable role in transboundary water governance in Africa.

In order for ANBO to be successful in carrying out its mission with its members and partners, this project will provide support to strengthen its capacity to address priority needs of its members. This will require ANBO to become a service provider for its members in the following areas these members identified as priorities:

- Climate change, both adaptation and mitigation;
- Transboundary groundwater management;
- Exchange of data, information sharing, and of knowledge management; and
- Sustainable Financing.

This Inception Report is a summary of discussions, and recommendations developed by workshop participants. The workshop was attended by: ANBO Coordination Bureau members, representatives of ANBO partner organizations, UNDP, and the two project executing agencies: OMVS and UNESCO (see list of participants in annex). The workshop was conducted through plenary and working groups sessions following the agenda and terms of reference included in

Inception Workshop of the
ANBO-UNDP/GEF
October 01-02, 2018

annexes of this report. Conclusions and recommendations made related to improvements to the project work plan and the roles and responsibilities of the different stakeholders.

I. The UNDP / GEF Project: Stakeholders and Objectives

1. Objective of the ANBO-UNDP / GEF project

The objective of the project is to strengthen the coordination and collaboration capacities of African lake and river basin organizations (L/RBOs) and commissions and the frameworks for cooperation in transboundary groundwater management, as well as those of their member States, with a view to improving transboundary water governance in Africa. The project aims to achieve this goal by strengthening the capacity of the African Network of Basin Organizations (ANBO). The project will support the implementation of ANBO's Strategy and Work Plan (of 2014) through two components:

- Component 1: Strengthening the institutional and technical capacity of ANBO as the technical body of AMCOW;
- Component 2: Support for capacity building of lake / river basin organizations, groundwater commissions and Regional Economic Commission (RECs) to foster cross-border cooperation.

2. Stakeholders

The UNDP / GEF project is funded by the Global Environment Facility (GEF) .

UNDP is the implementing agency of the project. As a result, UNDP is responsible for ensuring that the expected results of the project are achieved and that GEF investments made through this project will not only support the achievement of the project's expected results, but will also contribute to the achievement of relevant results for the GEF.

OMVS and UNESCO are the executing agencies for this project. As implementing partners of UNDP, they will ensure that the desired project results are achieved in a timely manner and within budget. They are responsible for recruitment, contracting, contract management and all project administration either directly or through the Project Management Unit. They will also be required to submit quarterly progress reports and quarterly financial reports to UNDP, working in close cooperation with the Project Management Unit.

The Project Management Unit (PMU) is established at the OMVS headquarter in Dakar (Senegal), which serves as the permanent technical secretariat of ANBO. The PMU is headed by a project manager who is supported by an expert in communication and knowledge management and a financial and administrative expert. The project manager is responsible for coordinating all activities funded by this project (both OMVS and UNESCO) to ensure the achievement of outputs and expected results.

The ANBO Coordination Bureau (CB) is a member of the Project Steering Committee, in conjunction with representatives of UNDP and the implementing agencies of OMVS and

Inception Workshop of the
ANBO-UNDP/GEF
October 01-02, 2018

UNESCO. These executing agencies will report on the progress of the project in terms of implementation and results to UNDP and the Project Steering Committee through the PMU. The Steering Committee, which is the highest decision-making body for the implementation of this project, will meet 4 times during the project duration to provide guidance to the PMU. The two meetings of year1 of the project will be during the inception workshop in 2018 and the ANBO general assembly in 2019. The two consecutive meetings will be: one for the review of the of mid-term evaluation, and the second for the review of the final evaluation. It may appoint certain experts to form a technical working group or an advisory group as it may be deemed necessary to provide additional guidance and technical support to the PMU or to the PSC.

In order to better understand the overall coherence of the project and understand its objectives in relation to the expected results, the following table gives a more precise insight as the project results framework.

3. Project Results framework

The Results Framework, included in the project document, was reviewed and updated during the inception phase with inputs from PMU, OMVS and UNDP. The mid-term targets have been added to the Results Framework. All changes/adjustments proposed on the Results Framework by PMU, OMVS and UNDP were presented at the Inception Workshop and reviewed by participants. The updated Results Framework was subsequently submitted to the PSC during its 1st meeting for review and approval. The updated Results Framework presented below has been approved by the PSC during its 1st meeting.

	This project will contribute to achieving the following Country Programme Outcome as defined in CPAP or CPD: N/A					
	Country Programme Outcome Indicators: N/A					
	Primary applicable Key Environment and Sustainable Development Key Result Area (same as that on the cover page, circle one): 1. Mainstreaming environment and energy					
	Applicable GEF Strategic Objective and Program (GEF-5): IW-1: <i>Catalyze multi-state cooperation to balance conflicting water uses in transboundary surface/groundwater basins while considering climatic viability and change;</i> and IW-3: <i>Support foundational capacity building, portfolio learning, and targeted research needs for ecosystem-based, joint management of transboundary water system.</i>					
	Applicable GEF Expected Outcomes (GEF-5): IW Outcome 1.2: Transboundary institutions for joint ecosystem-based and adaptive management demonstrate sustainability. IW Outcome 3.1: Political commitment, shared vision, and institutional capacity demonstrated for joint, ecosystem-based management of waterbodies.					
	Applicable GEF Outcome Indicators: IW Indicator 1.2: Cooperation frameworks adopted and states contribute to financial sustainability. IW Indicator 3.1: Agreed SAPs at ministerial level with considerations for climatic variability and change; functioning national inter-ministry committees; agreed ICM plans.					
Project Strategy	Indicator	Baseline	Mid Term target	Targets End of Project	Source of verification	Risks and Assumpti ons
Project Objective:	Cooperative framework for transboundary water	ANBOs role as continental		ANBO is effectively promoting cooperation	ANBO reports to AMCOW.	R: L/RBOs

Inception Workshop of the
ANBO-UNDP/GEF
October 01-02, 2018

<p>To strengthen the coordination and collaboration capacity of African Lake and River Basin Organizations (L/RBOs), Commissions and/or cooperative framework for transboundary groundwater management and their member states towards improved transboundary water governance in Africa through improved support by the African Network of Basin Organizations (ANBO)</p>	<p>resources management among Lake and River Basin Organizations and Groundwater Commissions in place and operational</p>	<p>coordinating body for transboundary water resources management is not fully developed.</p> <p>Lake and River Basin Organization do not collaborate across their borders in managing transboundary water resources including groundwater</p>		<p>among Lake and River Basin Organizations and Groundwater Commissions for transboundary water resources management.</p>	<p>Lake and River Basin Organization reports to RECs</p>	<p>and RECs do not recognize ANBO as a coordinating body for transboundary water resources management.</p> <p>A: AMCOW continue recognizing ANBO as their technical arm for providing transboundary water resources management services.</p>
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Component 1: Strengthening ANBOs institutional and technical capacity as technical arm of AMCOW						
Project Strategy	Indicator	Baseline	Mid Term target	Targets End of Project	Source of verification	Risks and Assumpti ons
Outcome 1.1: Institutional capacity of ANBO strengthened to deliver on its statutory mandates	ANBO providing services to AMCOW, RECs, and L/RBOs as a coordination body	Very limited institutional and technical capacity in ANBO Secretariat Secretariat not operationally independent and reliant on host organization operations	Transitional arrangement in place for the ANBO secretariat (hosted by the OMVS) is fully operational. General Assembly organized and PSC meeting held. Foundational documents revisited by the General Assembly. ANBO members are re-engaged in delivering on its mandate. Implementation plans are agreed. All studies required to identify the financial options are performed.	ANBO Secretariat fully operational with all relevant policies and procedural guidelines in place Financial sustainability strategy adopted	Procedural manuals (HR policy, FM policy, gender policy etc.) Financial sustainability strategy report	A: ANBO recognized by RECs, L/RBOs as a coordinating body for transboundary water resources management at continental level
Outcome 1.2: ANBOs technical, knowledge and information management capacity strengthened to	AWIS enlarged and enhanced	Very limited technical, knowledge and information	ANBO website is operational and the AWIS has been revitalized and made operational	ANBO website is a knowledge and information hub for transboundary surface	AWIS	A: Participating countries,

Inception Workshop of the
ANBO-UNDP/GEF
October 01-02, 2018

<p>serve as technical arm of AMCOW focusing on transboundary water resources management, including groundwater</p>	<p>ANBO website improved and linked with AWIS</p> <p>Long-term finance for AWIS and ANBO web platform secured</p> <p>ANBO's technical capacity to represent transboundary water issues in international fora strengthened</p>	<p>management capacity in ANBO</p> <p>ANBO in weak position to provide advisory services to AMCOW, RECs, L/RBOs and Groundwater Commissions</p>	<p>(functioning website for a significant knowledge load and the network for its use is in place).</p> <p>The role of ANBO to provide advisory services to AMCOW has been clarified (documented as needed and on the way to be effective).</p>	<p>and groundwater management</p> <p>Improved AMCOW decisions on transboundary water resources management including groundwater based on ANBO advisory services</p>	<p>ANBO website</p> <p>Records of AMCOW decisions</p>	<p>L/RBOs and Groundwater Commissions are willing to share data and information on water resources management.</p>
<p>Outcome 1.3: ANBOs capacity as a clearing house for AMCOW information related to climate change vulnerability analyses and adaptation strategies of African transboundary basins strengthened</p>	<p>Meta-database for studies related to climate change predictions, vulnerability assessment, and adaptation strategies of African transboundary basins and aquifers developed.</p> <p>At least 3 Case studies/best</p>	<p>Climate change vulnerability not mainstreamed into L/RBO and Groundwater Commission plans</p> <p>No continent-wide repository/ database of relevant climate change (vulnerability) information</p>	<p>A continent-wide repository database for climate change (vulnerability) is in place. (Platform established, knowledge has been identified and there is a network moderator in place.)</p>	<p>Climate change vulnerability and adaptation become integral parts of L/RBO and Groundwater Commission planning processes.</p> <p>Planners and decision-makers have access to ANBO meta-database</p>	<p>L/RBO and Groundwater Commission water resources development plans</p> <p>Reports of ANBO to AMCOW</p> <p>AWIS information on</p>	<p>A: Impacts of climate change on water resources are understood by L/RBOs and Groundw</p>

Inception Workshop of the
ANBO-UNDP/GEF
October 01-02, 2018

	<p>practices/lessons learned from L/RBOs and Groundwater Commissions on financing and implementing (transboundary) climate change adaptation initiatives developed and disseminated through AMCOW.</p> <p>ANBO guidelines on climate resilient infrastructure development for L/RBOs and Groundwater Commissions developed and disseminated through AMCOW</p> <p>At least four transboundary water commissions (L/RBOs and/or Groundwater Commissions) sensitized</p>	<p>Limited knowledge, information and experience exchange between L/RBOs on the continent on climate change (vulnerability) matters</p>	<p>3 case studies developed by midterm, on the impact of climate change on both surface and ground waters.</p>	<p>on climate change and climate change vulnerability and regularly use it as a tool</p> <p>Regular knowledge, information and experience exchange between L/RBOs taking place, facilitated through ANBO</p>	<p>climate change and climate change vulnerability</p>	<p>ater Commissions.</p>
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Inception Workshop of the
ANBO-UNDP/GEF
October 01-02, 2018

	and trained on the use of ANBO's meta database					
Outcome 1.4: ANBO communication, monitoring, evaluation and adaptive management capacity strengthened	ANBO communication strategy developed Policy briefs on transboundary groundwater management produced and disseminated	ANBO communication activities currently handled by communications officer hired under SITWA project but these are not formally structured as there is no clear definition of roles among project staff.	ANBO communication strategy updated and implemented. At least 1 policy brief developed (by UNESCO)	Streamlined and targeted communication messages on transboundary water resources and groundwater management sent out by ANBO. Communication is a part of ANBO project management strategies.	ANBO website. ANBO and AMCOW policy briefs on transboundary water resources and groundwater management	A: L/RBOs and Groundwater Commissions consider outputs of ANBO communication program med valuable
Component 2: Supporting the capacity building of Lake/River Basin Organizations, Groundwater Commissions and RECs to foster transboundary cooperation						
Project Strategy	Indicator	Baseline	Mid Term target	Targets End of Project	Source of verification	Risks and Assumptions
Outcome 2.1: Information and data management capacity of L/RBOs and Groundwater Commissions strengthened	Transboundary data management and information sharing systems (data exchange/management protocols, common referential and priority	Data on surface and groundwater resources very limited across the African continent.	Refer to Indicator for 1.2.	Information and data on water resources readily available and used for planning processes at the various levels	Project Implementation Reports Data portal	A: Data and information on water resources at national

Inception Workshop of the
ANBO-UNDP/GEF
October 01-02, 2018

	<p>topics, data exchange scenarios and tools, data exchange platforms etc.) implemented for two selected L/RBOs and 1 Groundwater Commission, and linked to AWIS.</p> <p>Training courses on data management for selected L/RBOs organised by/through ANBO.</p>	<p>Scarce data is not shared across L/RBOs and states.</p> <p>No integrated data exchange mechanisms and few protocols for data and information exchange on water resources in Africa in place resulting in poor or lack of decisions on water resources management</p>	<p>At least one training course organised for selected L/RBOs</p>	<p>(within limits of national security).</p> <p>ANBO data portal on surface and groundwater resources established and utilized for decision making.</p> <p>L/RBO capacity on integrated data management strengthened and data portals used to inform planning and management decision-making</p>		<p>and basin level available in compatible formats to enable sharing.</p> <p>A: African countries, L/RBOs and Groundwater Commissions willing to share data and information</p>
<p>Outcome 2.2: RECs capacity to foster international as well as multi-sectoral cooperation among their member states to manage transboundary waters including groundwater strengthened</p>	<p>REC's transboundary) water resources management focal points and selected L/RBO and/or Groundwater Commission representatives trained in transboundary water law</p>	<p>Linkages between international conventions/protocols not clearly defined and understood</p> <p>Limited understanding of linkages between international,</p>	<p>At least one training on transboundary water law which should include best practices and lessons learned from RECs, L/RBOs</p>	<p>African countries, RECs and L/RBOs understand linkages between international conventions and local legal and policy provisions for water resources management.</p>	<p>Training workshop reports</p> <p>Best practice and lessons learnt publications</p>	<p>A: Increased integration of REC and L/RBO institutional</p>

Inception Workshop of the
ANBO-UNDP/GEF
October 01-02, 2018

	<p>Lessons learned and best practices of effective REC support to its member states and/or L/RBOs to foster international cooperation for transboundary water management identified, discussed and disseminated among RECs and L/RBO/GC.</p> <p>Dialogue platform/s among RECs and other regional stakeholders established to stimulate international as well as multisectoral cooperation and reflect development issues under water and climate security framework</p>	<p>continental, regional and national legal and policy provisions for water resources and groundwater management</p> <p>Limited exchange of lessons learnt and best practices between continental role-players</p>	<p>Dialogue platform established on the ANBO website and 1 dialogue event held</p>	<p>Water resources planning and development reflects provisions of international conventions.</p> <p>Active exchange of lessons learnt and best practices, facilitated through ANBO</p> <p>Regular dialogue platforms established</p>	<p>Records of dialogue events</p>	<p>frameworks takes place as foreseen in policies of RECs</p>
Project Strategy	Indicator	Baseline	Mid Term target	Targets End of Project	Source of verification	Risks and Assumptions
Outcome 2.3: Financing/Resource	ANBO in-house capacity to gather and	Most operations of L/RBOs are funded by		L/RBOs and Groundwater	Funding agreements	A: Incentives

Inception Workshop of the
ANBO-UNDP/GEF
October 01-02, 2018

<p>mobilization capacity of L/RBOs and Groundwater Commissions strengthened</p>	<p>disseminate information on financial opportunities related to transboundary water resources management strengthened to benefit its Member Organizations.</p> <p>Capacity building workshops (at least 2) for L/RBOs and Groundwater Commissions on financial resources mobilization carried out</p> <p>Donors and partners coordination group/s established to monitor available resources and funding possibilities for long-term development and strategic support</p>	<p>international development organizations and partners which threatens sustainability</p> <p>Staff in the L/RBOs have limited resource mobilization skills need training.</p>	<p>At least 1 Capacity Building workshop organised for L/RBOs</p> <p>Resource Mobilization Strategy and action plan developed.</p>	<p>Commissions able to mobilize resources especially from local sources such as the private sector.</p> <p>Opportunities for broadening financial base recognized by L/RBOs and staff have the necessary skills to implement accessing a broader range of finance sources</p>	<p>entered into with new institutions including private sector entities</p> <p>Capacity building workshop reports</p> <p>Minutes/ records of donor coordination meetings</p>	<p>for private sector participation in water resources management made available.</p> <p>A: Availability of staff to train in resource mobilization</p>
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II. Agenda of Inception Workshop

This Inception Workshop was carried out using the following agenda:

- Presentation of the project followed by discussions in plenary
- Presentation of M&E requirement: Monitoring Framework and Evaluation
- UNESCO presentation about groundwater
- Working groups to revise the work plan
- Recommendations from plenary sessions and working groups

The various documents (agenda, presentations, speeches, group work reports) are annexed in this report.

1. Presentation objectives and methodology of the inception workshop

The ANBO-UNDP/GEF project manager introduced the inception workshop by outlining the inception goals and method. He emphasized to the context where this UNDP/GEF project took place and the bridge that exists between this new project and SITWA.

He highlighted the expectations the project had from members, both PSC and CB. After almost two years without activities, ANBO need to be revitalized and the Permanent Technical Secretary strengthened. To touch these goals, ANBO-UNDP/GEF project expose it new vision along with the new dynamic and work plan that sustain this vision.

2. Presentation and discussion of ANBO-UNDP/GEF project

2.1 The ANBO new vision

The presentations and discussion of the results framework and work plan of the ANBO-UNDP / GEF project confirmed the new ANBO vision. This vision dedicates ANBO as a service provider to its members and, more broadly, the RECs (Regional Economic Commissions) and the GC (Groundwater Commissions).

This vision is based on a change in the nature of the relationship between ANBO and its members, who must not only take ownership of the organization but also strengthen it through their commitment to this approach of making their network a unique platform for the services they need in four (4) specific areas. These areas identified by in-depth studies and consultations involving ANBO members and partners are as follows:

- Climate change
- Groundwater
- Information exchange/knowledge management
- Sustainable financing

Each of these four areas is a priority for L/RBOs because they take into account new developments that challenge them, such as: climate change, which is an urgent and major

concern as stated in Goal 6 of the SDGs and, groundwater management which is not well taken in to consideration by basin organizations in Africa despite the fact that more than 70% of the continent's population depends on groundwater for its primary water supply needs. The vast majority of actions taken on governance (policies, legal frameworks...etc.) for transboundary water management have focused on surface waters.

2.2 The climate change issue:

The climate change is one of the major issues that Africa is dealing with. ANBO should have a strategic approach to bring basin organization to raise awareness about climate change and build up solutions for adaptation and mitigation. The project has to create an innovative approach as a service provider to make information such as meta database available for L/RBOs through AWIS platform

2.3 The platform for information exchange and knowledge management

To make the proposed new vision of ANBO effective, an essential tool to set up is the digital platform for data sharing, information exchange, and knowledge management. The SITWA project did work in this area via the African Water Information System (AWIS), through a collaboration with the International Water Office (IWO) and the International Network of Basin Organizations (INBO). ANBO participated in the African Water Documentation and Information System Initiative, funded by the European Water Facility from 2007 to 2010. A Preliminary African Water Information Network in Africa (AWIS), created through this initiative, is currently hosted by IWO. The result of this initiative remains mixed despite the work done by SITWA. In addition, the performance of this platform has decreased due to a total lack of membership of the platform since the end of the SITWA project in December 2016.

AWIS platform need to be improved and focal points involved to the ANBO new vision because the sharing information and knowledge strengthen the capacity of L/RBOs to challenge themselves and build up innovative ways to connect governance, institutions and water management system to this platform. The information system is strongly linked to the sustainable finance. One of the reasons is that new technology opens the new possibility that has not been investigated enough by L/RBOs. So, it become very interesting to connect the knowledge and information platform to sustainable finance because some bridges are possible.

In this regard, the result 1.2.1 is entirely dedicated to strengthening the ANBO information system through AWIS, which is its centralizing instrument. The achievement of ANBO's mission is strongly linked to the successful support of the priorities of its members as discussed during the inception workshop.

2.4 The sustainability of ANBO funding

This is critical for the achievement of the results of component 1 of the ANBO-UNDP / GEF project. This need has been expressed since the SITWA project and has been the focus of an unsuccessful financing plan. In the SITWA inception report of November 2013, this need was clearly identified and it will be necessary to take stock of this resource's mobilization issue, and

Inception Workshop of the
ANBO-UNDP/GEF
October 01-02, 2018

identify options (and their feasibility) to be submitted to the next ANBO General Assembly. Moreover, one of the ways in which ANBO finance could be viable and helpful to its members is to

1. Involve ANBO charging a fee for these services as part of project preparation grants (project preparation for bankable project for ANBO members)
2. Bring motivation to pay their membership dues as they will become more convinced of the usefulness of ANBO

The added value of this project is to allow through strategic collaborations to put in place capacity building mechanisms that gives ANBO the ability to build up access to funding for its members as a service delivered. The role of ANBO is to provide a viable response for L / RBOs and to design projects/programs that can attract the funding needed to achieve their objectives.

ANBO mission is seriously affected by the finance issues because there is no specific strategy conceived to go over this problem. ANBO needs to have a long-term strategy that propose ways and means to achieve sustainable financing of its operations and reduce the dependence on projects. This needs to be done because to carry out its mission including serving as a technical arm of AMCOW.

See Project Manager Presentation in Annex 4

3. Presentation of M&E requirement: Monitoring Framework and Evaluation

Akiko, the UNDP representative of this project made two presentations of M&E requirement to inform the assistance what are the expectations of implementing agency, UNDP, in terms of:

- Management
- Risks
- Goals
- Communicating Results

To make this project outcomes successful, the common understanding of these expectations raised above is important because, as an implanting agency, UNDP brought these rules and regulations that raise awareness to the ANBO members and to partners. This project has to gather all together this expectation to make sure that any layer will be taken into account by PMU. This framework is the guidelines conceived to allow the project team to reach the goals expected and open up to the new challenges.

The two presentation will be attached as an annex to this document

4. The potential of groundwater for L/RBOs in Africa: UNESCO Leadership

Groundwater is one of the most important issues to focus on in Africa. Despite the fact that Groundwater is still unknown because of the lack of information and knowledge about this area, L/RBOs need a readjustment to stay linked to this topic. ANBO-UNDP/GEF project raise awareness about the groundwater issue and bring UNESCO expertise to emphasize in the necessity for L/RBOs to get involved institutionally to the governance of this area.

UNESCO presentation goal was to give the global vision of groundwater in Africa and to set up a strategy to collect information and knowledge and disseminate them to all ANBO members all over the continent. This global map is the potential that need to be investigated for ANBO members and partners to fill the gap of knowledge that groundwater area is suffering from L/RBOs. The presentation highlighted the lack of governance, knowledge and awareness noticed in the groundwater management within all L/RBOs in Africa. But, one of the major goals of this project is to raise awareness about this critical area for African population. (see presentation in the annex of this report)

5. Project Work Plan and Budget (see in Annex the Work Plan approved by PSC)

The work plan presented to the participants of the workshop by the PMU and UNESCO is a consolidated work plan of the activities to be carried out by OMVS and UNESCO respectively. After presentation and discussion of this work plan, three groups were formed by expected results of the project to revise and amend if necessary, this plan. Thus, the groups were formed as follows:

- Group 1 worked on results: 1.1-1.2
- Group 2 worked on results: 1.3-1.4
- Group 3 worked on results: 2.1- 2.2- 2.3

The working groups reviewed the work plan by validating and/or proposing changes (additions, elimination) for all activities for each output, and expected result. Recommendations related to the implementation of activities were also made by the different working groups.

Each group presented the outcomes of their work followed by discussions during a plenary session of the workshop. The conclusions and recommendations of this session were submitted to the steering committee for final decision.

The mains propositions captured of these working group are as follows:

The Group 1 suggestions concerned the institutional governance of ANBO and its financial sustainability.

The main suggestions were:

- Updating the 5 years action plan before next GA
- Review of action plan by CB
- Organization ANBO GA and popularize vision, statutes and strategy
- Confirm the institutional form of ANBO Permanent Technical Secretariat
- Make AWIS operational

For working group 2:

Inception Workshop of the
ANBO-UNDP/GEF
October 01-02, 2018

Two points have been raised:

- Develop the subsidiarity principle within ANBO
- Spread out the holistic approach in climate change and other area in relation with SDG 6.

Working group 3:

the key ideas that have been proposed are the following:

- Assessment of capacity building needs and development of training plan.
- Providing post training support for RBOs to implement the training outcomes
- Develop and disseminate advocacy messages to RECs
- Identification of funding sources and access modalities for L/RBOs and RECs by sector and investors
- Disseminate available funding opportunities via ANBO and relevant platform
- ANBO to assist RECs and pilot R / RBs to participate in funding mechanisms

III. Recommendations

The following 16 recommendations were made as main outcomes of discussion during the ANBO-UNDP/GEF Project Inception Workshop. Thus, we can separate them in two categories

- 1) The General recommendations
- 2) The specific recommendations linked to the different outcomes in the workplan submitted.

The General Recommendations

Outcomes	Recommendations
	16. Steering Committee to adopt the work plan of the project taking into account the relevant recommendations made during the inception workshop and endorses the implementation of the project by the PMU.

The Specific Recommendations

Outcomes	Recommendations
1.1	1. ANBO Coordination Bureau should refer to the project's work plan for the next ANBO GA in 2019 (namely activities related to "Dissemination of the vision, strategy and new statutes of ANBO" planned for the 3rd quarter of 2019. 2. Update the ANBO Action Plan to be submitted to members for consideration. 3. Add to the next ANBO GA agenda a proposal for a financial plan.
1.2	4. Training of L/RBOs in the use of AWIS and AGWIS 5. Optimize the exchange of information via social networks.
1.3	6. Development of a metadata database (AWIS / AGWIS): make it operational and sustainable

Inception Workshop of the
ANBO-UNDP/GEF
October 01-02, 2018

	<p>7. Linking with different programs that provide information</p> <p>8. Extend the metadata base on a platform to provide services to RBOs.</p>
2.2	<p>9. Provide pilot training on international water rights, river and transboundary agreements</p> <p>10. Extend to other L/RBOs and RECs post-training support to implement the results of the training on the transboundary water/rivers international agreement.</p>
2.3	<p>11. Assist RBOs develop their capacity in project design and resource mobilization by encouraging cooperation between RECs and ANBO</p> <p>12. Identification of donors and potential partners for funding of RECs, RBOs, and groundwater commission initiatives</p> <p>13. Creation and operationalization of donor groups and potential partners</p> <p>14. Organize regular meetings with members of the group of donors and partners (including at regional / international events).</p> <p>15. ANBO should provide support to pilot RECs/BO to engage in a financial mechanism</p>

Inception Workshop of the
ANBO-UNDP/GEF
October 01-02, 2018

Conclusion

The UNDP / GEF project inception workshop was an opportunity to measure the amount of the work to be done and the challenges facing ANBO. This workshop also revealed opportunities linked to the new vision of ANBO, which is about ANBO being an added value through services to provide to its members.

The workshop resulted in the review and validation of the projects work plan, and lead to the development of its ownership by members of ANBO's coordination bureau. Participants to the workshop including, ANBO members and partners, UNDP, and the two executing agencies all now have a clear idea of their roles and responsibilities.

Inception Workshop of the
ANBO-UNDP/GEF
October 01-02, 2018

List of annexes

Inception Workshop of the
ANBO-UNDP/GEF
October 01-02, 2018

Annex 1: Work plan Consolidated and approved by PSC

Work Plan and Budget consolidated (OMVS/UNESCO/ RAOB- PNUD/FEM)																		
00101034																		
00097214																		
MUS10																		
5538 ANBO MPS (for OMVS-implemented and UNESCO-implemented)																		
Strengthening the institutional capacity of African Network of Basin Organization (ANBO), contributing to the improved transboundary water governance in Africa																		
Organisation pour la Mise en Valeur du fleuve Sénégal (OMVS) & UNESCO																		
	2018				2019				2020				2021				Budget retreat Including contractual services	O U
	Q1	Q2	Q3	Q4	Q1	Q2	Q3	Q4	Q1	Q2	Q3	Q4	Q1	Q2	Q3	Q4		
Strengthening ANBO's institutional and technical capacity as a technical arm of AMCOW																OMVS		
Institutional capacity of ANBO strengthened to deliver on its statutory mandates																000	330	
Organization AG 2019 (Disseminate the vision, strategy and new statutes of ANBO)																	780	68
Presentation of the statutes in an information session at the next GA of Tunis																		
Confirm the transitional institutional form of the ANBO Permanent Secretariat at the next GA																		

Inception Workshop of the
ANBO-UNDP/GEF
October 01-02, 2018

Review of the ANBO strategy / action plan by ANBO members and the PMU																			171	9
See if the financial options (1.1.4) allow for an independent secretariat during the mid-term evaluation, justifying policy development / procedures manual																			098	32
Review of the results of the SITWA project regarding the identification of financing options																				
Study of options for financing ANBO																				
Adoption of the financial option to be implemented																				
roll out the roadmap for the adopted financial option																				
Reinforcement of ANBO technical, knowledge management and information capacity to serve as AMCOW technical body specifically focused on the management of transboundary water resources, including groundwater.																			390	34
Inventory of the existing situation, evaluation and proposal for improvement of the AWIS (recruitment of an information management consultant)																				
Renew contact with all partners																				
Making AWIS operational and sustainable																				
Offer AGWIS accommodation and sustainability plan																				
Team training in the use of AGWIS																				

Inception Workshop of the ANBO-UNDP/GEF
 October 01-02, 2018

Contact and meet the CERs and AMCOW instead			■	■			■			■								
Selection of candidates, preparation for training on governance and management of groundwater					■													
Inventory of groundwater governance in watershed / lake bodies.																		
Preparation of the Groundwater Governance and Management Course for Border / Lake Basin Organizations					■	■												■
Border / Lake basin organization training on groundwater governance and management						■	■	■										■
Establishment of the Advisory Committee on Groundwater								■	■									
Implementation of the financial option adopted (see 1.1.4)							■	■										■
ANBO's capacity as a clearing house for AMCOW on information related to climate change, vulnerability analysis and adaptation strategies of African transboundary basins strengthened.							■	■	■	■	■							854
Create a metadata platform / AWIS																		45
inventory of existing data																		

Inception Workshop of the
 ANBO-UNDP/GEF
 October 01-02, 2018

Collection and integration in the platform																			
creating sharing networks																			
Create the principle of subsidiarity: develop the bargaining capacity of OBs and not speak for them																			
Training of at least 3 RBOs on the use of metadata base																			
Sharing / Diffusion / animation of the platform / AWIS																			
Establishment of partnership with the specialized agencies																			
At least 3 RBOs case studies / Identification of best case studies on the financing and implementation of (cross-border) climate change adaptation initiatives																			
training workshop for sharing experiences																			
Project Financing Coaching Until the end of the project																			
Elaboration of the guidelines on the resilience of infrastructures																			
Dissemination of the guidelines on the resilience of infrastructures																			
Study on identification of needs + Creation of training modules (in collaboration with UNESCO)																			
The ANBO meta-database should take a holistic approach and not be limited to climate change.																			
Organization of training workshops																			

854 45
 927 22
 512 27

Inception Workshop of the
ANBO-UNDP/GEF
October 01-02, 2018

ANBO communication, monitoring, evaluation and adaptive management capacity strengthened																		927	22	
Update of the existing strategy																				
Action and communication plan																				
Development and communication tools																				
Realization of information notes on transboundary groundwater management (see UNESCO component) see 1.2.4																			585	4
Identification of topics relevant to the guidance notes on transboundary groundwater policy																				
Formulation of guidance notes and key messages on transboundary groundwater																				
Dissemination of guidance notes and key messages on transboundary groundwater																				
Steering committee meeting																			122	699
Supporting the capacity building of Lake/River Basin Organizations, Groundwater Commissions and RECs to foster transboundary cooperation																				
Information and data management capacity of L/RBOs and Groundwater Commissions strengthened.																	000	210	210000	

Inception Workshop of the
ANBO-UNDP/GEF
October 01-02, 2018

Evaluation of SITWA's actions and new needs (identification of new RBOs in relation to groundwater in coordination with UNECSO and OSS)															588	70		
Identification and selection of good practices (including data management)																		
RBOs training on good practices																		
Dissemination of good practices																		
Create a portal for OMVS																		
From the OMVS staff on the active use of the portal, creating support and downloading maps																		
With OMVS and ORASECOM, coordinate information processing in AGWIS																		
2 workshops on the use of portals and information processing in AGWIS - OMVS Case Study																		
2 workshops on portals use and information processing in AGWIS - ORASECOM case study																		
Create a workspace in GGMN for each RBO																		
Evaluate the monitoring program and interpret collected groundwater monitoring data																		
Connect the 3 information platforms above (for 2 L/RBOS and a commission) to AWIS																		
RECs capacity to foster international cooperation among its member states to manage transboundary waters strengthened.																		

Inception Workshop of the
ANBO-UNDP/GEF
October 01-02, 2018

Examiner le rapport d'évaluation du SITWA et évaluer les nouveaux besoins (identification de nouveaux OB / R en ce qui concerne les eaux souterraines, en coordination avec UNECSO et OSS)																					
identification des cibles CER et OB																			118	94	
Évaluation des besoins en renforcement des capacités et élaboration d'un plan de formation																					
Development training modules																					
Training workshop																					
Extracting good practices and lessons learned from the SITWA project																					
Assessment of knowledge on international water law and agreements on transboundary rivers and aquifers																					
Preparation for training on international water law (profiles, selection, ...)																					
Training on international water law, course evaluation																					
Provide training support to pilot RBOs to implement training outcomes																					
Identification and choice of best practices																				471	56
Develop and disseminate advocacy message																					
advocacy																					

Inception Workshop of the
ANBO-UNDP/GEF
October 01-02, 2018

Annex 2: Project Budget (OMVS & UNESCO) approved by PSC

Implementing Agent										
OMVS	62000	GEF	Inception Workshop of the ANBO-UNDP/GEF October 01-02, 2018	71200	International Consultants	120,000	160,000	190,000	70,000	540,000
				71300	Local Consultants	30,000	50,000	50,000	20,000	150,000
				71600	Travel	15,000	15,000	25,000	25,000	80,000
				72100	Contractual Services: Companies	5,000	10,000	10,000	5,000	30,000
					Total Component 1	170,000	235,000	275,000	120,000	800,000
OMVS	62000	GEF		71200	International Consultants	76,000	140,000	140,000	64,000	420,000
				71300	Local Consultants	15,000	30,000	30,000	15,000	90,000
				71600	Travel	20,000	40,000	40,000	20,000	120,000
				72100	Contractual Services: Companies	10,000	20,000	20,000	10,000	60,000
					Total Component 2	121,000	230,000	230,000	109,000	690,000
OMVS	62000	GEF		71200	International Consultants	14,000	20,000	20,000	6,000	60,000
				71300	Local Consultants	5,000	10,000	10,000	5,000	30,000
				71600	Travel	9,000	7,000	7,000	3,000	26,000
				74100	Professional Services	0	10,000	10,000	10,000	30,000
				74500	Miscellaneous	1,000	1,000	1,000	1,000	4,000
					Total Management	29,000	48,000	48,000	25,000	150,000
PROJECT TOTAL						320,000	513,000	553,000	254,000	1,640,000

UNESCO Budget validated by PSC

Project ID:	00101034										
Output ID:	00097214										
Business Unit:	MUS10										
PIMS number:	5538 ANBO MPS (UNESCO-implemented)										
Project Title:	Strengthening the institutional capacity of African Network of Basin Organization (ANBO), contributing to the improved transboundary water governance in Africa										
Implementation Modality:	Organisation pour la Mise en Valeur du fleuve Sénégal (OMVS) & UNESCO										
GEF Outcome/Atlas Activity	Responsible Party (Implementing Agent)	Fund ID	Donor Name	Atlas Account Code	Description Buget Atlas	Amount (USD) Year 1	Amount (USD) Year 2	Amount (USD) Year 3	Amount (USD) Year 4	Amount (USD) Year 5	Total (USD)
Component 1: Strengthening ANBOs institutional and technical capacity as technical arm of AMCOW	UNESCO	62000	GEF	71200	International Consultants	10 000	10 000	10 000	0		30 000
				72100	Service Provider Contracts	20 000	45 000	45 000	0		110 000
				75700	Training Workshops and Conferences	0	20 000	20 000	0		40 000
	Composante 1 - UNESCO Total						30 000	75 000	75 000	0	0
Component 2: Supporting the capacity building of Lake/River Basin Organizations. Groundwater Commissions and RECs to foster transboundary cooperation	UNESCO	62000	GEF	71200	International Consultants	40 000	40 000	40 000	0		120 000
				72100	Service Provider Contracts	20 000	20 000	20 000	0		60 000
	Composante 2- UNESCO Total						60 000	60 000	60 000	0	0
TOTAL-PROJECT (UNESCO)						\$90 000	\$135 000	\$135 000	\$0	\$0	\$360 000

Annex 3: Inception Workshop Agenda

Inception Workshop

Project Title: Strengthening the institutional capacity of African Network of Basin Organization (ANBO), contributing to the improved transboundary water governance in Africa

Day 1: October 1st:

08:30-09:00 Registration

09:00-9:30: Opening remarks

- ANBO Permanent Secretary (High Commissioner of OMVS)
- UNESCO Representative
- UNDP Representative
- ANBO President

9:30-9:40 Presentation objectives and methodology of the inception workshop

9:30-10:30 Presentation/Discussion of Project (Project Manager)

10:30-11:00 Coffee break

11:00-11:30 M&E requirement: Monitoring Framework and Evaluation (UNDPGEF RTA)

11:30-12:30 Presentation of Project Work Plan

12:30-13:00 Forming of working group

13:00-14:00 Lunch break

14:00-16:00 Working groups

16:00-16:15 Coffee break

16:15-17:30 Continued working groups

18:30 Welcome Cocktail

Day 2: October 2nd

9:00-10:30 Working groups reporting in plenary

10:30-10:45 Coffee break

10:45-13:00 Two parallel sessions

- Meeting of ANBO Coordination Bureau

- Preparation of recommendation for Project Steering Committee

13:00-14:30 Lunch break

14:30-17:00 Steering committee meeting

17:00-17:15 *Coffee break*

17:15-18:00 Closing

Annex 4 : ANBO-UNDP/GEF Project Presentation

UNDP-GEF PROJECT

Project Title: Strengthening the institutional capacity of African Network of Basin Organization (ANBO), contributing to the improved transboundary water governance in Africa

Background

- Following the EU-funded SITWA (Strengthening Transboundary Water Resources Institutions in Africa) project, which has produced the ANBO 10-year strategy, the UNDP / GEF Project will contribute to the 2025 Vision.
- This project is funded by the Global Environment Facility (GEF) thru UNDP for \$2,000,000 for 3 years.
- The two executing entities are OMVS (\$1,640,000) and UNESCO (\$340,000).

Project Justification

WHY

- Constant pressure on water resources resulting from climate variability, and increasing demand (demographics, # develop Sectors)
- Need for cross-border cooperation: 80% of freshwater resources are of cross-border origin

Project Justification

HOW

ANBO (1 STOP SHOP for Access to TBW Stakeholders) ANBO to play a strategic role on 3 levels:

1. Continental as technical arm of AMCOW for TBW management
2. Regional for Advocacy & CB of RECs for TBW
3. ANBO to serve its members for increased efficiency on TBW management

Project Objective/Components

- **Overall Objective:** Strengthen coordination/collaboration capacity of African Lake and River Basin Organizations (L/RBOs), Commissions/cooperative frameworks for transboundary groundwater management and their Member States for improved transboundary water governance in Africa through the improved support by the African Network of Basin Organization (ANBO)
- The project has two components. **Component 1:** Strengthening ANBO's institutional and technical capacity as a technical arm of AMCOW, and **Component 2:** Supporting the capacity building of L/RBOs, Groundwater Commissions and RECs to foster transboundary cooperation.

Project Target

The project targets are:

- Regional Economic Committees
- Basin Organizations
- Groundwater commission
- AMCOW

Project Approach

BUILD ANBO CAPACITY TO BE A SERVICE PROVIDER TO ITS MEMBERS

- Identify Clients (members/countries/partners)
- Identify Services needed
- Services Areas:
 - climate change (innovative)
 - Groundwater (innovative)
 - information exchange (cross cutting)
 - sustainable financing (cross cutting)

Project Results Framework

Component 1: Strengthening ANBO's institutional and technical capacity as a technical arm of AMCOW

Outcome 1.1: Institutional capacity of ANBO strengthened to deliver on its statutory mandates.

Outcome 1.2. ANBO's technical, knowledge and information management capacity strengthened to serve as a technical arm of AMCOW focusing on transboundary water resources management, including groundwater

Outcome 1.3: ANBO's capacity as a clearing house for AMCOW on information related to climate change, vulnerability analysis and adaptation strategies of African transboundary basins strengthened.

Outcome 1.4: ANBO communication, monitoring, evaluation and adaptive management capacity strengthened

Project Results Framework

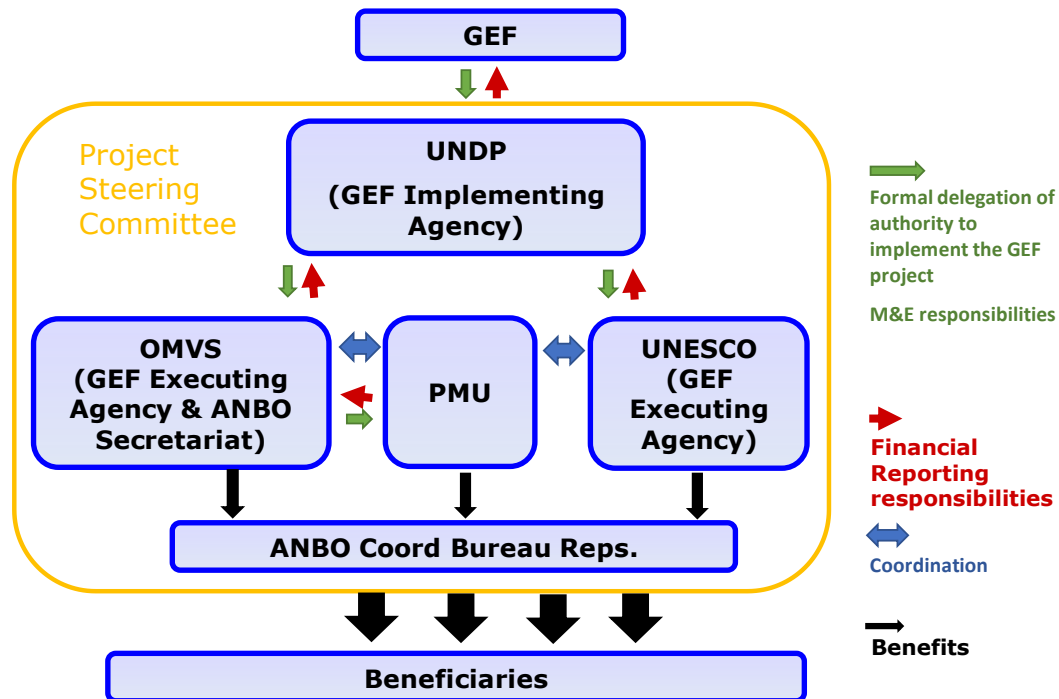
Component 2: Component 2: Supporting the capacity building of Lake/River Basin Organizations, Groundwater Commissions and RECs to foster transboundary cooperation

Outcome 2.1: Information and data management capacity of L/RBOs and Groundwater Commissions strengthened.

Outcome 2.2: RECs capacity to foster international cooperation among its member states to manage transboundary waters strengthened.

Outcome 2.3. Financing/Resources mobilization capacity of L/RBOs and Groundwater Commissions strengthened.

Management Arrangements



THANK YOU



Annex 5: M&E requirement



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Resilient nations.*

Monitoring & Evaluation in the UNDP-GEF Project Cycle

UNDP-GEF Support to ANBO, contributing to the improved TB Water Governance in Africa

Inception Workshop

1 October 2018
Dakar, Botswana

Presentation Outline

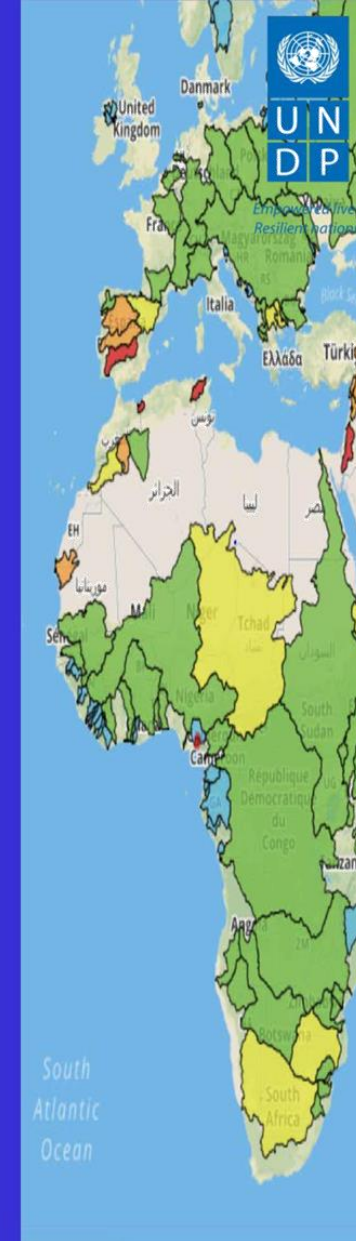
1. Background

1. What's GEF?
2. UNDP-GEF Project Implementation Responsibilities

2. Effective M&E will allow:

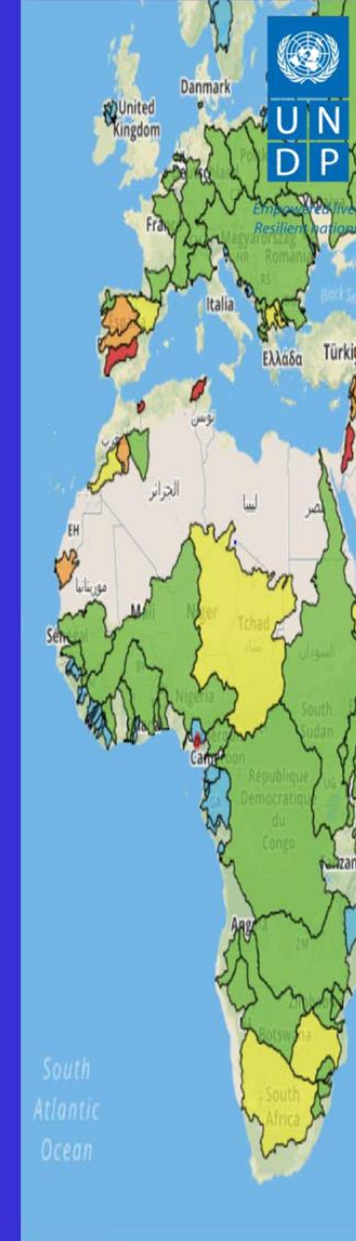
1. Results-based & Adaptive Management
2. Risk Assessment & Management
3. Communicating Results – Evidence-based reporting

3. Overview of UNDP-GEF reporting requirements in the project cycle



1. Background

1. What's GEF?
2. UNDP-GEF Project Implementation Responsibilities



What is GEF?

A trust fund, established to forge **international cooperation** and finance actions to **address critical threats to the global environment**:

1. **Biodiversity loss** (in response to the UN CBD)
2. **Climate Change Mitigation** (in response to the UNFCCC)
3. **Chemicals and Waste** (in response to the Montreal Protocol, the Stockholm Convention on POPs)
4. **International Waters** (no Global conventions)
5. **Land degradation** (in response to the UNCCD)
6. **Sustainable Forest Management**
7. **Impact Programs** (SFM, Sustainable Cities, Amazon, Congo, Drylands)

Mechanism for financing **“incremental costs”** of new **“global environment”** actions by developing countries.





UNDP-GEF project implementation responsibilities

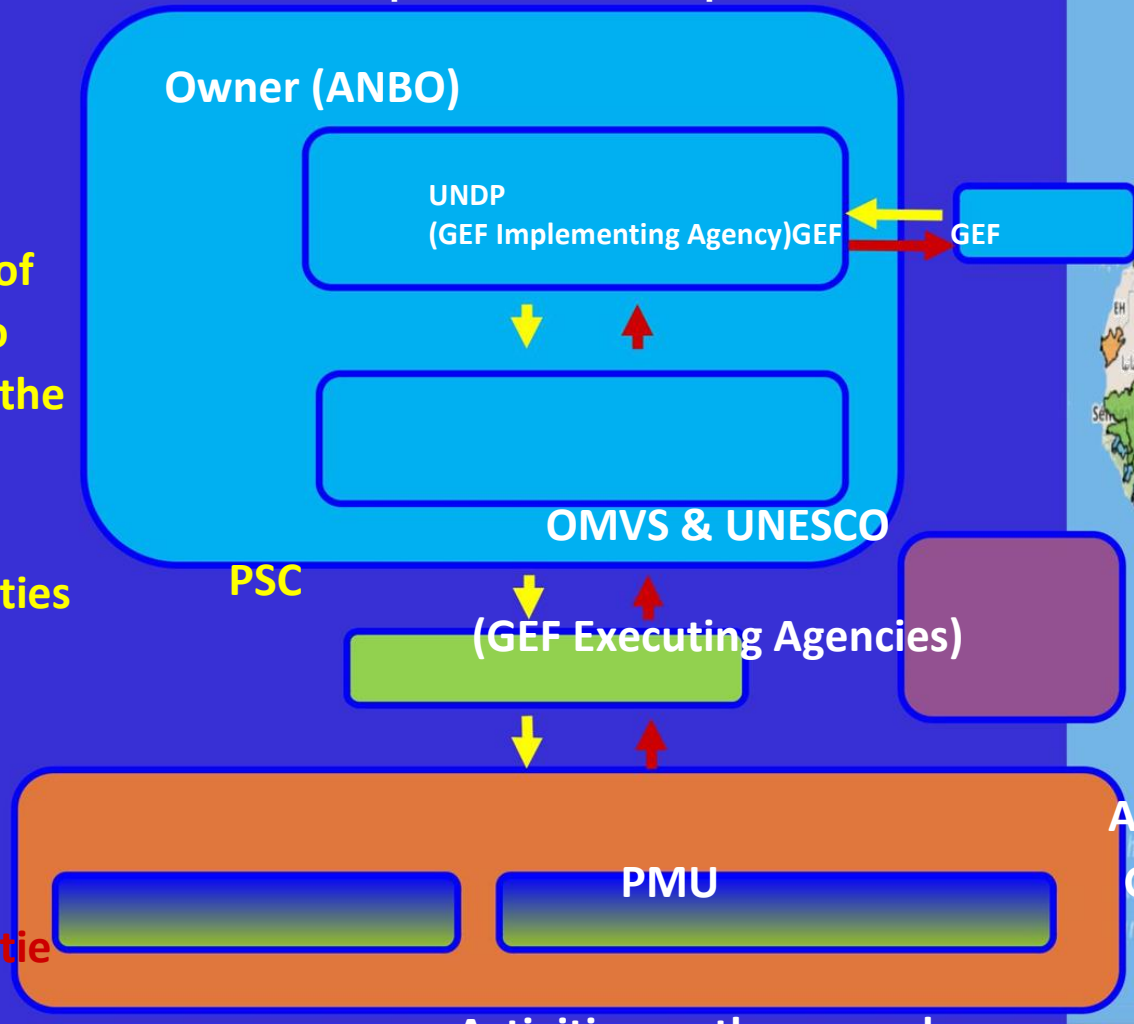


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Formal delegation of authority to implement the GEF project

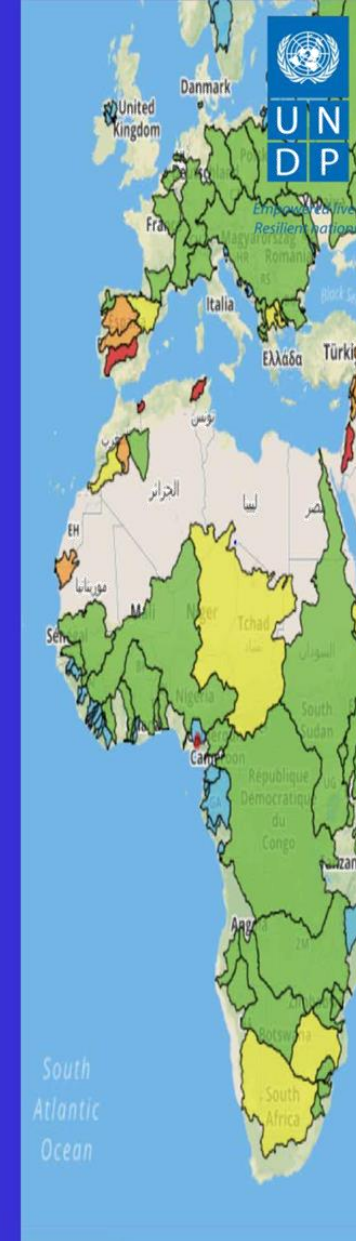
M&E responsibilities

Reporting responsibilities



2. Effective M&E will allow:

1. Results-based & Adaptive Management
2. Risk Assessment & Management
3. Communicating Results – Evidence-based reporting

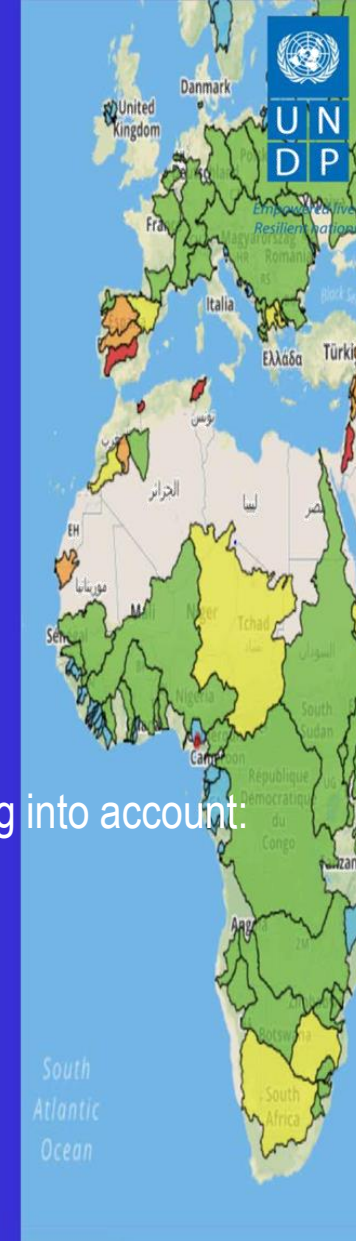




RESULTS-BASED, ADAPTIVE MANAGEMENT

- *What is it?*

- Focus on “**results**”:
 - Progress towards **Impact** and **Outcome** indicators
 - Achieving sustainable change
- The “process” (to achieve results) should/can be changed, taking into account:
 - New risks or change in risk rating
 - Monitoring results (current strategy not working)
 - Situation changes
 - New opportunities



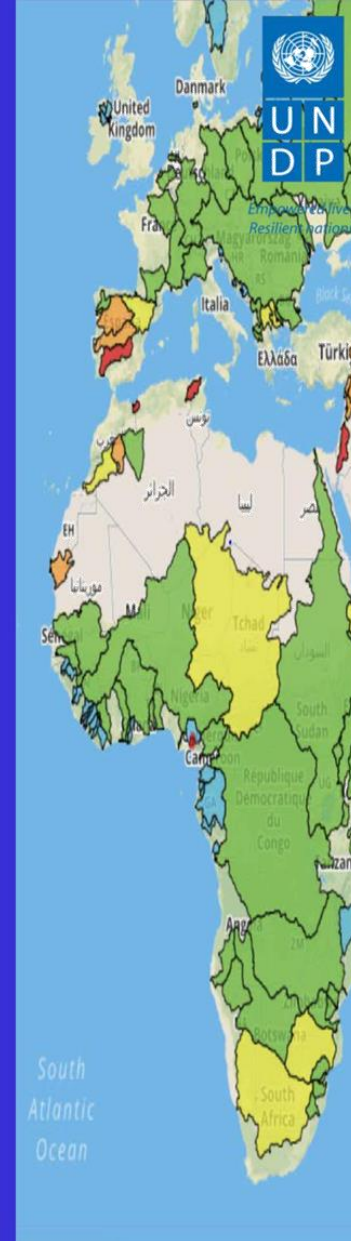


ADAPTIVE MANAGEMENT

- *What changes are allowed?*

- **Objective level:**
 - Clearance from GEF in Washington D.C.
 - Revised Project Document – very difficult process
 - It is basically a new project – You will need to resubmit
- **Outcome level:**
 - Clearance from UNDP-GEF, reported to GEF
 - Revised Project Document
 - Resubmission and/or independent evaluation might be necessary.

- **Output/Activity level:**
 - Clearance from PSC, reported to UNDP Regional Technical Advisor
 - Revised Workplan, Budget Revision to be approved at the Regional PSC
- **Input level:** ment with UNDP-Regional Technical Advisor (RTA)
 - Substantive Budget Revisions cleared by RTA and Regional PSC



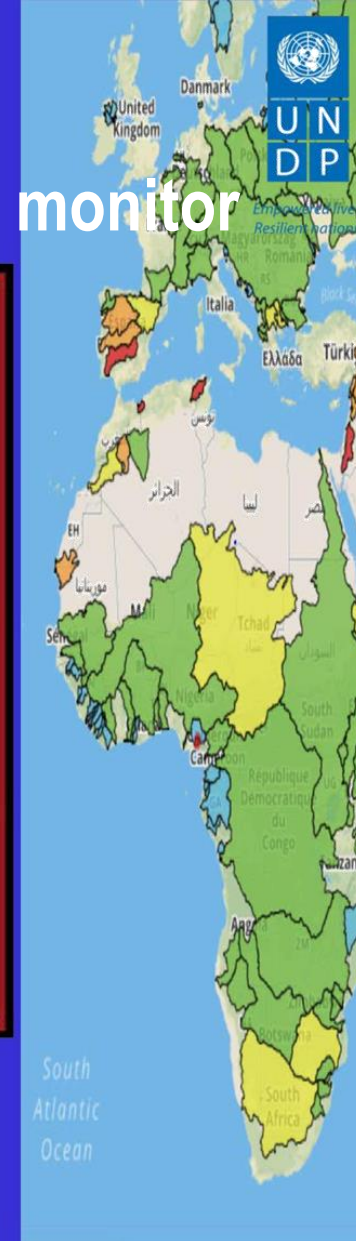
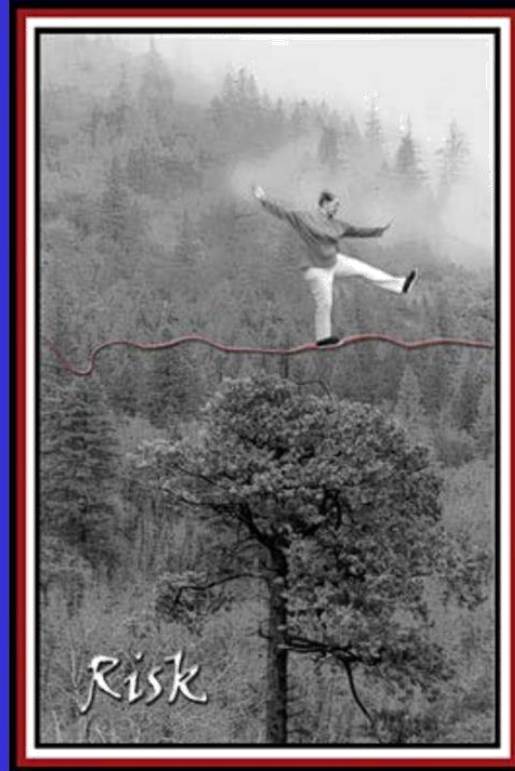
UNDP/GEF Risk Management System

The background image shows two people in silhouette standing on a dark hillside. They are looking out over a vast city at night, where the lights of buildings and streets create a dense, glowing pattern of yellow and orange. The sky is dark, and the overall scene is dramatic and atmospheric.

GEF - funded projects are complex and therefore likely to face risks and challenges

7 RISK CATEGORIES – all projects must monitor

- ENVIRONMENTAL
- FINANCIAL
- OPERATIONAL
- ORGANIZATIONAL
- POLITICAL
- REGULATORY
- STRATEGIC
- OTHER



unsatisfactory outcomes

AND...

ALL Risks identified through the **UNDP** Social and Environmental Screening Process.



Overarching Policy and Principles

Scope of Application

Principle 1: Human Rights

Principle 2: Gender Equality and Women's Empowerment

Principle 3: Environmental Sustainability

Project-Level Standards

Standard 1: Biodiversity Conservation and Sustainable Natural Resource Management

Standard 2: Climate Change Mitigation and Adaptation

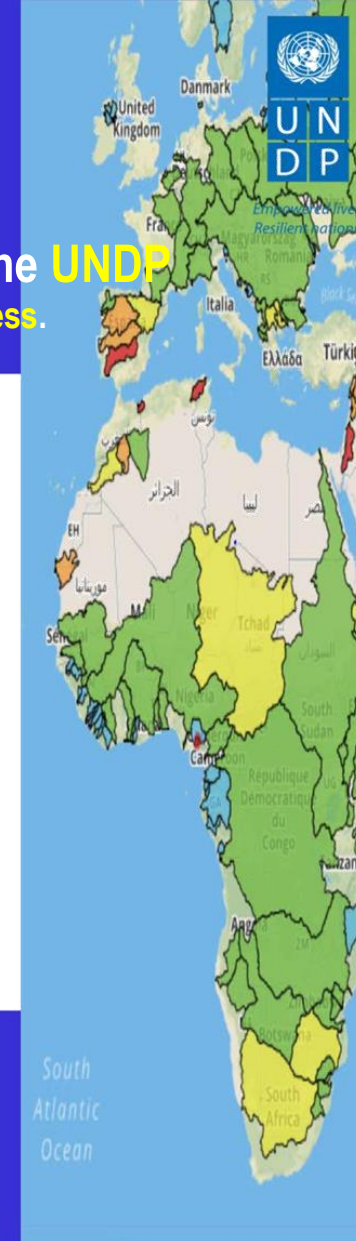
Standard 3: Community Health, Safety and Working Conditions

Standard 4: Cultural Heritage

Standard 5: Displacement and Resettlement

Standard 6: Indigenous Peoples

Standard 7: Pollution Prevention and Resource Efficiency

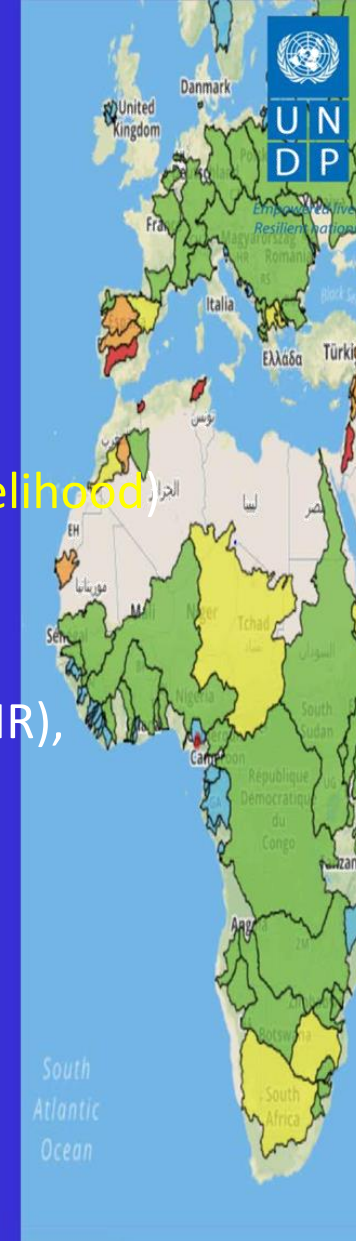


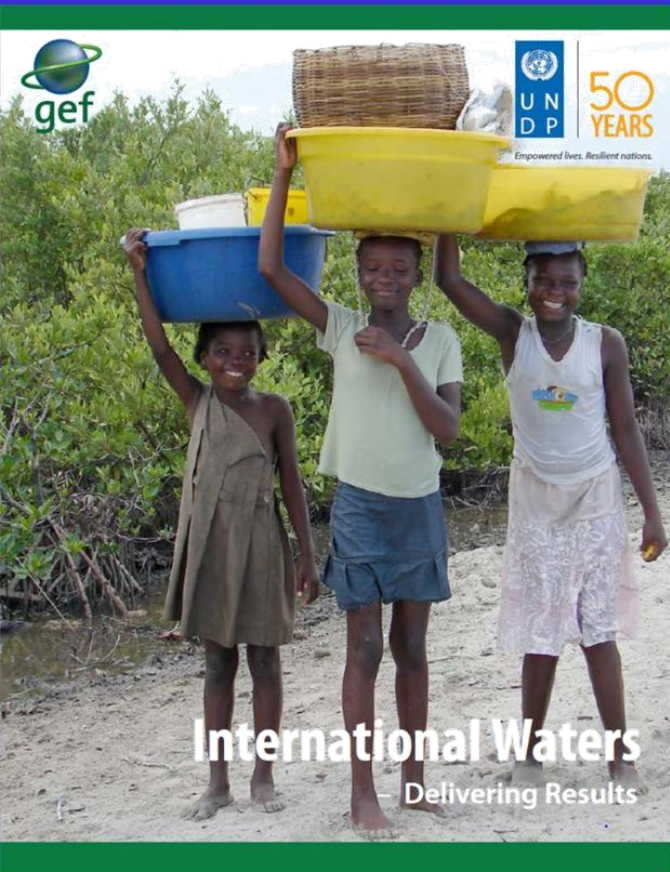
= Risks potentially imposed by the project

FOR EACH RISK IDENTIFIED,
we need to:

- Identify **risk category**
- Determine significance (potential **impacts &** and **likelihood**)
- Develop a **management response**
- **Update the risk assessment** periodically (quarterly reporting, annual project implementation review (PIR), MTR, TE)

= Risk Management





Empowered lives. Resilient nations.

International Waters — Delivering Results

AFRICA

Support to the Cubango-Okavango River Basin Strategic Action Programme Implementation



Mokoro is local name for the wooden canoes, the best means to navigate through shallow channels in the Okavango Delta for transport and for bird-watching ecotourism © Akiiko Yamamoto

INFORMATION BOX
 Scope: Regional
 Countries: Angola, Botswana and Namibia
 Partners: OKACOM, Sweden/Sida, USAID, DFID, GIZ, World Bank, FAO
 GEF Grant: \$12.07 million (2 projects)
 Co-Financ: \$348.91 million
 Project Cost: \$353.38 million
 Project website: <http://epsms.livemsn.org>
<http://www.okacom.org/>

Project Context

The 1994 OKACOM Agreement commits the three member states (Angola, Botswana, and Namibia) to promoting a coordinated and environmentally sustainable water resource development, while addressing the legitimate social and economic needs of each of the riparian states. The agreement established the Permanent Okavango River Basin Water Commission (OKACOM) mandated to advise the member states on sustainable long-term yield, reasonable demand, conservation criteria, development of water resources and prevention of pollution towards best management of the basin. The role of the OKACOM is to anticipate and reduce the unintended, unacceptable and often unnecessary impacts that occur as a result of uncoordinated resource development. This UNDP-supported and GEF-financed programme has been supporting the OKACOM and its member states since the early 1990s to strengthen their joint management capacity of the resources of the Cubango-Okavango River Basin (CORB).

Threats and Causes

Transboundary Diagnostic Analysis (TDA) was completed in 2011 and publically launched in 2012. It identified four emerging priority areas of concern, namely: 1) Variation and reduction of hydrological flow; 2) Changes in sediment dynamics; 3) Changes in water quality; 4) Changes in the abundance and distribution of biota. These areas of concern are driven by four underlying pressures: 1) Population dynamics; 2) Land use change; 3) Poverty; and 4) Climate Change. The member states have been engaged on these priority areas collectively and internationally and have started to put in place mechanisms and policies to manage potential impacts.

Results Delivered

Process Results
 Over the 20 years of its existence, the OKACOM is experiencing institutional growth. In May 2015, the member states amended the 2007 Organizational Structure Agreement to include the Ministers' Forum as the highest decision making body of the Commission. To further advance its mandate, the Commission has developed a joint shared vision for the basin in a consultative manner. To this effect, the member states and stakeholders agreed that OKACOM would provide scientifically based technical support to its member states that leads to an "economically prosperous, socially just and environmentally healthy development of the Cubango-Okavango River Basin (CORB)" (OKACOM Vision).

The last decade witnessed a steady increase in the countries' commitment to basin-level coordination, through extensive efforts to develop a basin-wide baseline to



FACT BOX

ighly innovative because of its use of the CORB ecosystem, analyses to analyse potential re-development activities, and boundary damages of

part of Development Space that promotes socially ble development in the

gh a five-year implementation of commitment by member ; USAID, SIDA, UNDP has been nplementation.

conomic development in the sing in interventions that will mplementation promotes the l to sustainable and environ- tivities to minimize the stress pment activities.

rochemicals, local farmers are roaches, with USAID support, as for harvesting devil's gum



Mosomi Wildlife Reserve in the Okavango Delta © Akiiko Yamamoto

ully realised some environmental ents with USAID support; over towed access to clean drinking with now or improved sanitation ged in new or enhanced conse- g activities; over 1,300 people gula and Namibia and a ten-fold r farmers practicing conservation JM interventions, supported by residents in over 40 communities , and assisted over 30,000 people ; of climate change.

ssin SAP project will further assist tal and socio-economic benefi- es to support the basin communi- ity, the project will pilot several ties, in areas of tourism, wildlife with minimum negative impacts al emphasis is put on evaluating e: piloted activities as an alterna- activities. The project also helps nent and cooperative decision go-Okavango River basin states, le BDMF and its accompanying will strengthen OKACOM's capac- cent through enhancing its moni- ts and by addressing a number of : planning.



Communicating Results

included in the regional portfolio

Africa

216

Number of Active Projects

45

Number of Countries

US\$828 million

Total Grant Amount

2017 Reporting Cohort in Africa



The vast and diverse region of Africa is unified around two ambitious agendas for people, planet and prosperity: the global Sustainable Development Goals and the continental Agenda 2063, which builds on and aims to accelerate existing continental initiatives for growth and sustainable development.

Since 1992, the Africa region has received 24% of all environmental vertical fund grant financing mobilized by UNDP for 46 countries in the region, including 31 SIDS and LDCs. When combined with US\$8.1 billion in co-financing, this total investment of US\$9.9 billion over the past 25 years has made significant progress in addressing the root causes of environmental degradation, and has built resilience and strengthened livelihoods across the region.

The results presented here illustrate a sample of exciting country-level progress from 216 projects in 45 countries across the region. These stories demonstrate the gains in sustainable natural resource management and climate resilient development, related improvements for livelihoods, food security, gender equality and boosts to eco-tourism and renewable energy.



17 COUNTRIES

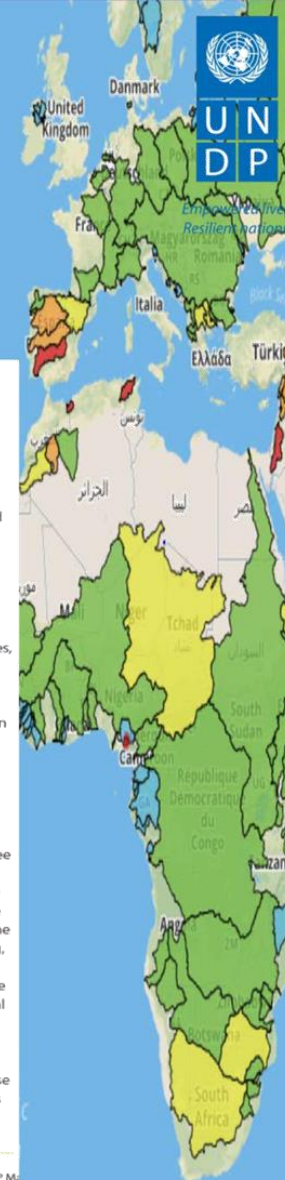
adopting integrated water resources management practices

A comprehensive integrated management plan of the Provaz River basin on the island of **São Tomé** provides water for over 8,000 people. Comprehensive mapping of the physical, chemical and biological characteristics of the river plus active stakeholder involvement in its management and maintenance has led to greater understanding and awareness of its importance as a resource for health and well-being. Together with UNEP, UNDP supported the installation of over 35,000 meters of a water distribution network and an automatic water treatment unit, benefiting almost 80,000 residents in the city of Moron in **Comoros**. Water supply loss has been reduced from 60% to less than 5%, and daily output from the main water pumping station has almost doubled, while pumping time has been reduced by a third.



34 COUNTRIES
adopting sustainable forest management practices

In **Namibia**, Integrated Forest Management Plans have been completed for 2.8 million hectares and will facilitate the uptake of improved agricultural, livestock, and forestry management practices in community forest areas. Managing community forests enhances communities' equitable and sustainable access to timber resources, firewood, poles, wild fruits, medicinal plants, grazing, etc. The National Agricultural Policy now has strategies that address forest concerns, including the protection and conservation of indigenous plants and genetic material, agroforestry, and a strategy on sustainable utilisation of natural resources. Communities in the Central Province of **Zambia** are taking a lead role in sustainable forest management by: adopting local by-laws that encourage tree regeneration; planting 88,000 seedlings from an agro-forestry nursery to promote more diversified and sustainable land use practices; and adopting alternative income generating activities such as bee-keeping, conservation farming, small livestock rearing, and handicrafts in order to reduce pressures on forests. Mapping of historical trends of fire incidence and burn severity for the Central Province help identify fire prone areas and contribute to the development of a fire occurrence database at the Forestry Department Headquarters in Lusaka which form an integral part of draft fire management plans.





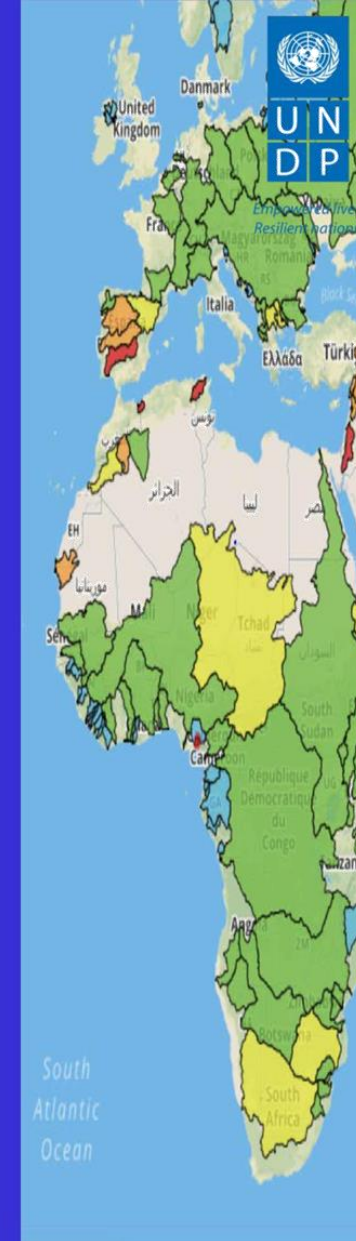
Included in the global portfolio

2017 Global Results

For People, Planet and Prosperity



3. Overview of UNDP-GEF reporting requirements in the project cycle





UNDP-GEF Project Cycle *with Reporting Requirements*

Project Approval



ProDoc Signature



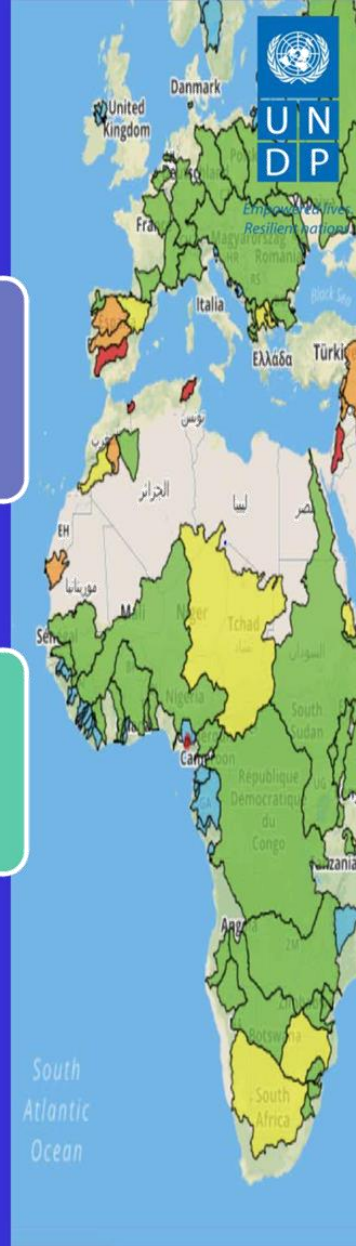
Inception Workshop

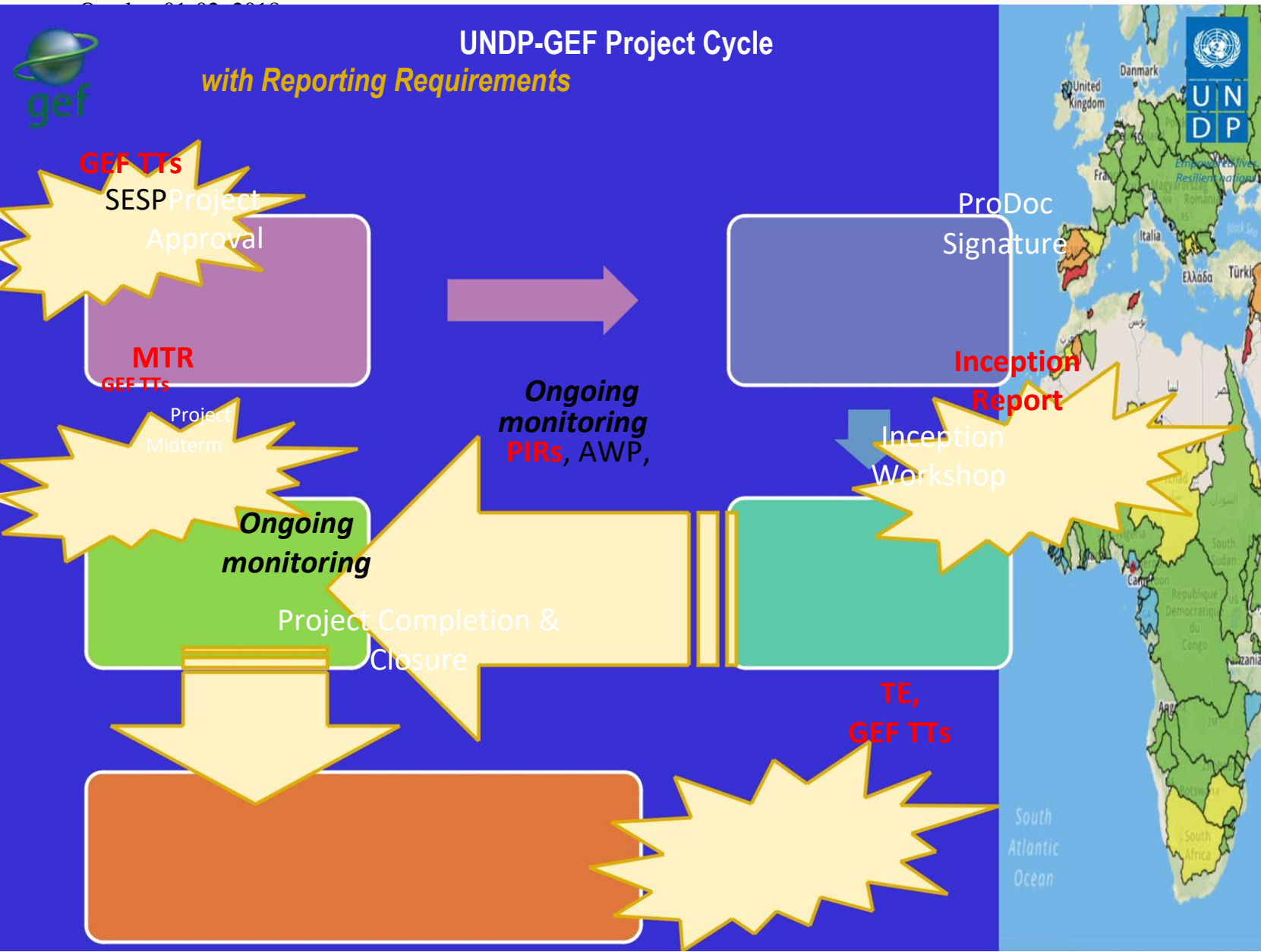


Project Midterm



Project Completion & Closure







TOOLS TO MONITOR PROGRESS

1. **Logframe (Results Framework):**
Developed during the project design Refined
and finalized at Inception Workshop
Include result-oriented SMART indicators with mid-term and end-
of the project targets
2. **Annual Work Plan**
3. **Field visits** by UNDP and PSC members
4. **Mid-term Review** and **Terminal Evaluation**



REPORTING ON PROGRESS

1. **Inception report** – progress in the inception stage, revisions/updates made on the logframe, total budget and work plan, indicators, monitoring framework, etc.
2. **Quarterly operational and financial reports** – reporting progress & challenges each quarter, updating risks & management responses, lessons learned, financial delivery against approved Annual Work Plan
3. **Project Implementation Reviews (PIR)** – project progress for the year (July – June) rated in terms of achieving project objective and project implementation, lessons learned & best practices, co-financing

(& PSC minutes)



BUDGET FOR M&E (indicative)

Type of M&E activity	Budget US\$
Inception Workshop and Report	\$25,000
ARR/PIR	None
Periodic status/ progress reports	None
PSC Meetings	\$60,000 (For cost effectiveness, any co-financing opportunities will be sought.)
Mid-term Evaluation	Indicative cost: \$40,000
Final Evaluation	Indicative cost: \$40,000
Project Terminal Report	0
Visits to field sites	For GEF supported projects, paid from IA fees and operational budget

TOTAL INDICATIVE COST

US\$ 165,000

**Excl. project team staff time and UNDP
staff and travel expenses**

Questions? Monitoring & Evaluation in the UNDP Cycle

UNDP-GEF Support to ANBO, contributing to
TB Water Governance in Africa

Information Workshop

1 October 2018
Dakar, Botswana

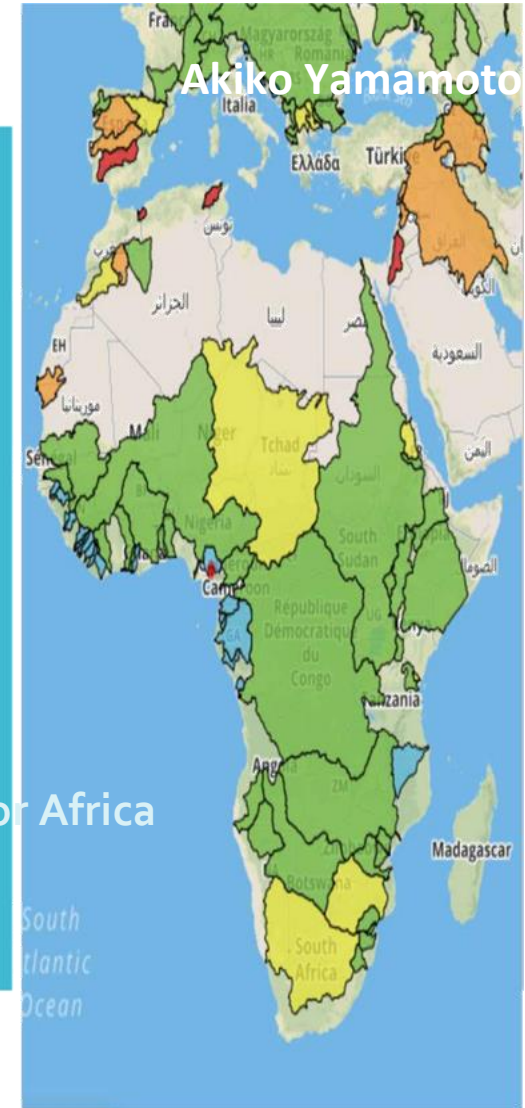


SESP Presentation

Social and Environmental Screening for the UNDP-GEF ANBO Project

Inception Workshop 1
October 2018 Dakar,
Senegal

Akiko Yamamoto, Ph. D.
Regional Technical Advisor for
Water and Ocean Governance
UNDP Regional Service Center for Africa



Akiko Yamamoto

Objectives of UNDP Social and Environmental Standards

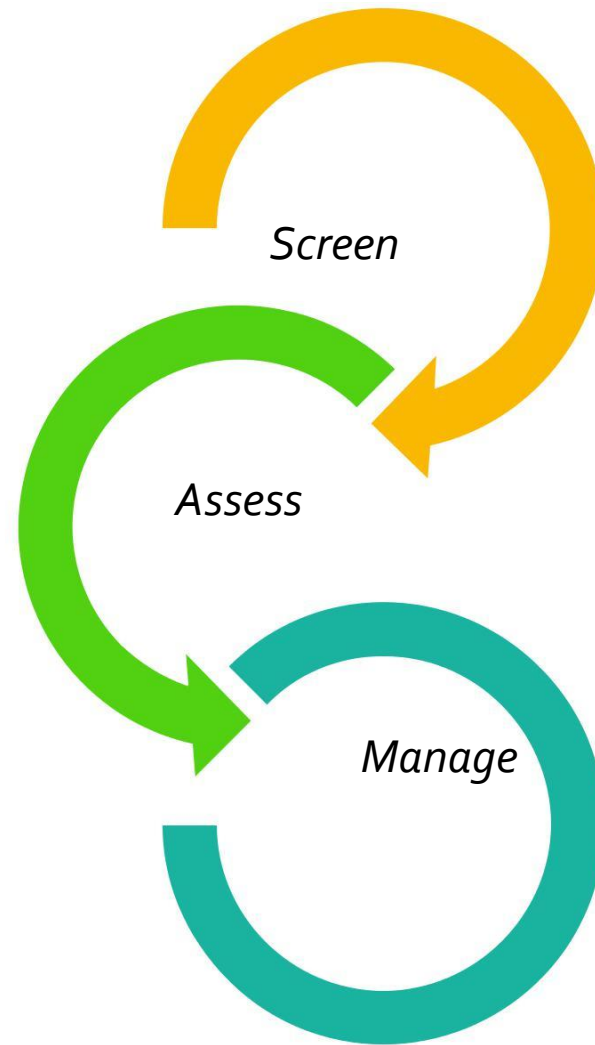
4. **Strengthen the social and environmental outcomes** of programmes and projects
5. **Avoid adverse impacts to people and the environment**
6. **Minimize, mitigate, and manage adverse impacts** where avoidance is not possible
7. **Strengthen capacities** for managing social and environmental risks
8. **Ensure full and effective stakeholder engagement**

*"Social and environmental sustainability
are cornerstones of human development and poverty
reduction."*

- UNDP SES, page 4



Overview of Project-level Safeguards Process



3. Screen all project activities for social, environmental risks
4. Determine risk categorization of project
5. Scope key risks
6. Analyze potential impacts
7. Develop management measures
8. Appraise, implement, monitor

QUESTION 1:

How Does the Project Integrate the Overarching Principles in order to Strengthen Social and Environmental Sustainability

3 Principles:

8. Describe how the Project mainstreams the human-rights based approach
9. Describe how the Project is likely to *improve gender equality and women's empowerment*
10. Describe how the Project mainstreams *environmental sustainability*

Standards:

4. *BD Conservation and Sustainable NRM*
5. *Climate Change Mitigation and Adaptation*
6. *Community Health, Safety and Working Conditions*
7. *Cultural Heritage*
8. *Displacement and Resettlement*
9. *Indigenous Peoples*
10. *Pollution Prevention and Resource Efficiency*

Risk Identified:

Q2: What are the Potential Social and Environmental Risks?

Indigenous people present in the project area (including project area of influence)

Q3: What is the level of significance of the potential social and environmental risks?

Low

QUESTION 4:

What is the
overall Project
risk
categorization?

Low

From an environmental and social safeguard point of view, the project is rated as a Category Low, as most of activities are targeted to institutional and technical capacity strengthening.

Due consideration will be given in **mainstreaming gender consideration, social inclusion and environmental sustainability** in all aspect of the project interventions.



Regional Technical Advisor

Thank you & Merci

Annex 6: UNESCO Presentation



UNESCO's Concerns in the Project

Overall Goal:

To contribute to fostering and enhancing the integration of transboundary groundwater in water resource planning at basin level by Lake and River Basin Organizations

Presently, managed transboundary groundwater, despite its importance in Africa, is not captured in L/RB planning



UNESCO's Focus Areas of Intervention

- ✓ Aquifer knowledge, information and data management
- ✓ Transboundary (ground)water law and cooperation agreements
- ✓ Groundwater governance
- ✓ Networking of groundwater specialists in support to ANBO



1. Information Management Systems for RBOs and ANBO

Revamping African Water Information System (AWIS)- OMVS

1. Creation of a Pan-African Portal: African Groundwater Information System (AGWIS), the groundwater component of AWIS

Based on IGRAC's Global Groundwater Information System (GGIS) of the International Groundwater Resources Assessment Center (IGRAC)

- support information exchange, store data from transboundary basins and aquifers at Pan-African level,
- provide online access to thematic datasets to facilitate knowledge management,
- enable interactive data collection and information sharing on groundwater for decision-making (AMCOW) on water resources governance and management that include groundwater,
- stimulate partnerships between organisations and follow-up assessments and interventions, beyond the project,
- trigger, facilitate cooperation among partners.

Information Management Systems (IMS)

SADC Groundwater Information Portal (SADC GIP)

This map viewer gives access to the SADC Hydrogeological map and atlas. The viewer contains a harmonised hydrogeological map for the SADC region, and borehole data from SADC member states. The viewer is designed to provide easy access to this information for all those who are involved in groundwater management, groundwater development and research related to groundwater.

The data contained in this viewer originates from the 2010 SADC Hydrogeological Mapping project (SADC-HGM). No additional quality checks were performed and data should be used with caution. SADC-GMI and IGRAC accept no responsibility for the accuracy of the data.

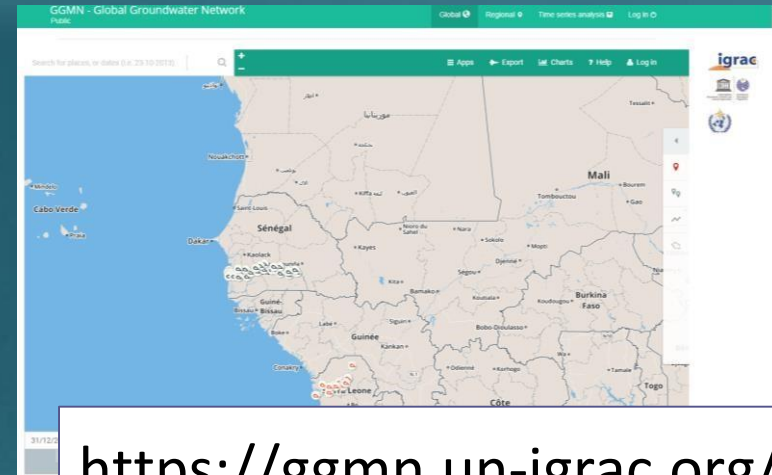
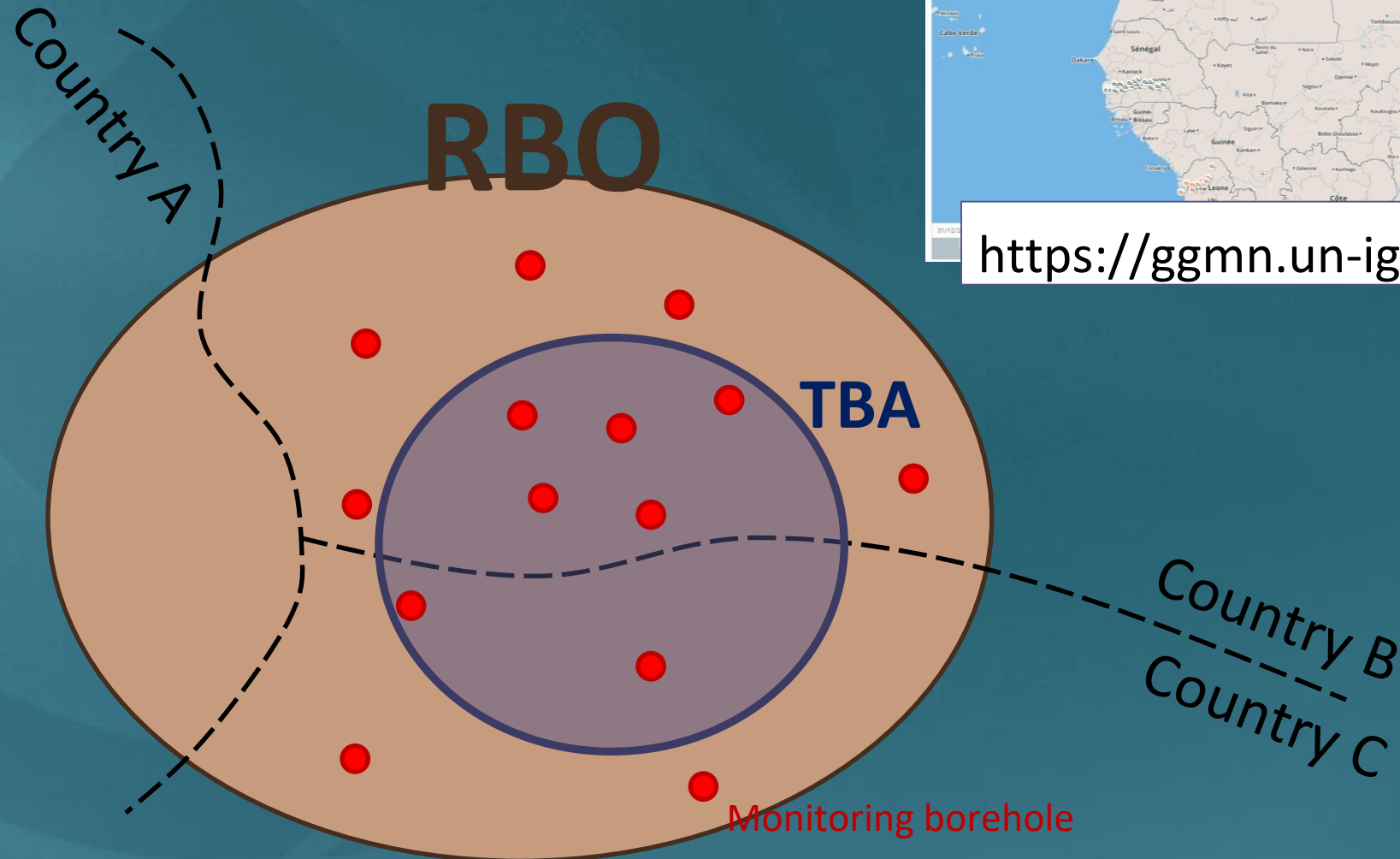
Data in this public viewer is available for on-line viewing only. Requests for access to the underlying data files should be addressed to the SADC Groundwater Management Institute info@sadc-gmi.org.

The SADC-GIP is developed and maintained by the International Groundwater Resources Assessment Centre (IGRAC), on behalf of SADC-GMI.

igrac
International Groundwater Resources Assessment Centre

Example of the SADC Groundwater Information Portal (SADC-GIP, <http://gip.sadc-gmi.org/>)

Groundwater monitoring



Course on Groundwater management for RBOs

INTEGRATION OF GROUNDWATER MANAGEMENT into Transboundary Basin Organizations in Africa



TRAINING MANUAL

INTEGRATION DE LA GESTION DES EAUX SOUTERRAINES dans les Organismes de Bassin Transfrontalier en Afrique



MANUEL DE FORMATION



2. Transboundary (ground)water law & cooperation agreements

Training on international water/gw law:

Status of knowledge gaps in international water law on transboundary groundwater

Preparation of provision of training course tailored to fill the gaps

- familiarize RECs, L/RBOs and other relevant ANBO partners with law instruments related to international waters, with emphasis on the UN Convention on Transboundary Aquifers,
- experience in the application of these instruments for the negotiations and establishment of agreements on TBAs, upgrading of existing River Basin agreements to integrate transboundary aquifers and resolution of conflicts on such aquifer systems.

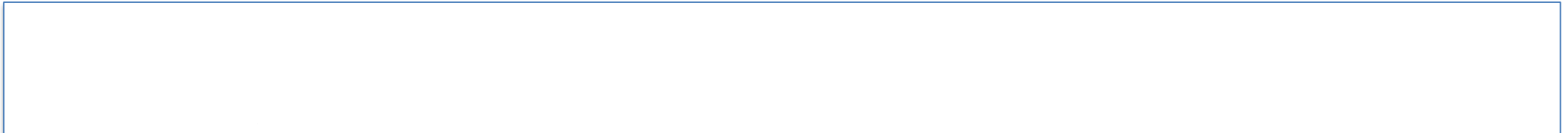
4. Networking of groundwater specialists in support to ANBO

Establishment of African Groundwater Experts Committee

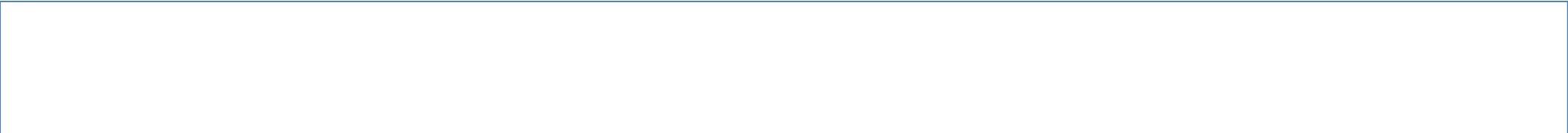
mandated with the provision of advisory services on groundwater to ANBO

- advise on matters relating to sound governance of transboundary aquifers, e.g. policies, laws and regulations, institutions, management practices, aquifer knowledge.
- preparation of policy briefs to raise awareness at decision -making level for the integration of transboundary groundwater into L/RB planning, along the lines of ANBO's strategy
- communication platform for the Committee hosted in UNESCO.

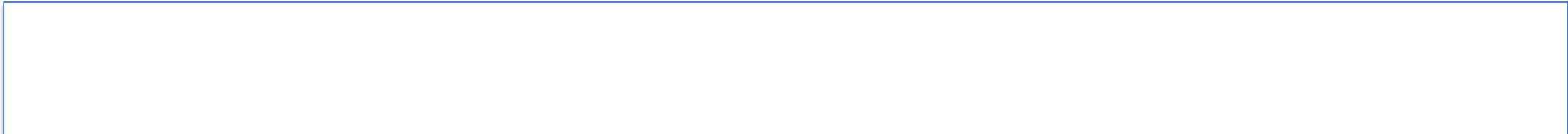
Thank You

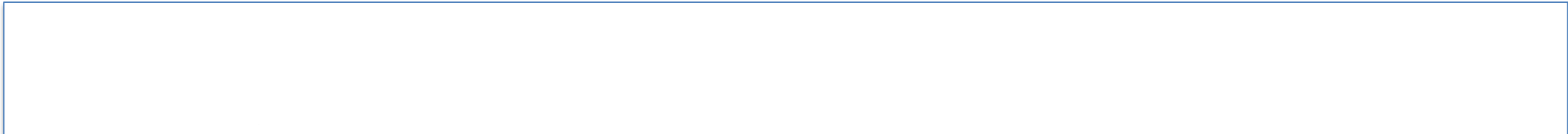


Annex 7: Inception Workshop pictures









Annex 8: Attendance List

ATELIER DE DEMARRAGE PROJET RAOB – PNUD / FEM				
NOMS	PRENOMS	TELEPHONE	EMAILS	STRUCTURE
BALDE	Alpha Oumar	+221774876606	Balde782001@yahoo.fr	OMVS
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DIOP	Elhadji	-	-	INTERPRETE
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DUMONT	Aurélien	+33778460760	Au.dumont@unesco.org	UNESCO

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